

Planning, Environment and Economy Portfolio Risk Register

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Risk Register - Part 1 (Portfolio Management)

Finance

Key		
Underlying Risk Rating	The risk rating before any mitigating actions	
Current Risk Rating	This risk rating following the planned mitigation actions	
Target Risk Rating	The risk rating which is realistically achievable and by when	
Risk Trend	The trend of the risk since the last review date	
Risk Status	Open denotes a live risk	Closed denotes a closed risk
*Denotes the risk is specific to the 'Recovery'		
PE	Planning, Environment and Economy	

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*PE02	Failure to meet income targets for Portfolio due to downturn in submission of planning applications, building regulations applications, Highways DC applications and licensing applications	Operational	Andrew Farrow	Sian Jones	R	Y	Y Q4 2021/22	NC ↔	Open
*PE03	Unable to regenerate Town Centres through implementation of the Town Centre Strategy due to insufficient resources and the compound economic consequences of the emergency situation	Strategic	Niall Waller	Rachael Byrne	R	A	A Q4 2021/22	NC ↔	Open
*PE24	Failure to deliver the Flintshire element of Regional Growth Deal projects	Strategic	Niall Waller	Rachael Byrne	Y	Y	G Q4 2022/23	NC ↔	Open
*PE05	Failure to meet income targets for Minerals and Waste Shared Service due to downturn in submission of planning applications and reduced compliance visits during lockdown and a subsequent potential economic downturn	Operational	Gary Nancarrow	Robin Williams	R	A	G Q4 2021/22	NC ↔	Open
PE25	Domestic energy service is self-funding so remains vulnerable to a shortfall in income levels.	Operational	Niall Waller	Joseph Muxlow	A	Y	G Q4 2022/23	NC ↔	Open
PE23	Financial risks arising from programme for private sector homes improvements	Operational	Niall Waller	Joseph Muxlow	Y	G	G Q4 2022/23	NC ↔	Open
PE26	Essential Services are disrupted and/or stopped due to contract failure	Strategic	Andrew Farrow	Lynne Fensome	A	Y	G Q4 2021/22	NC ↔	Open

Workforce

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*PE07	Impact on service delivery due to the resilience of staff and succession planning	Operational	Andrew Farrow	PEESMT	R	A	A Q4 2021/22	NC ↔	Open
*PE27 Updated	Risk to officers who do not adhere to the hybrid model developed for return to the offices Note: risk trend is reducing due to increasing familiarity with the Portfolio's ways of working and increasing confidence in our ability to provide a safe workplace with the measures in place to prevent the spread of Covid within the workforce	Operational	Andrew Farrow	PEESMT	R	Y	G Q3 2021/22	G ↓	Open
PE28 New	Risk to service delivery through inability to recruit into vacant posts	Operational	Andrew Farrow	PEESMT	R	A	G Q3 2021/22	New	Open

External Regulation

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
PE11	Non-compliance with Welsh Government Carbon Reduction Target due to external factors such as willingness of the wider public to support initiatives, access to funding to develop projects	Strategic	Andrew Farrow	Alex Ellis	R	Y	G Q4 2021/22	NC ↔	Open
PE12	The implications of Ash Die back on finances and reputation of the Council due to the scale of the problem and the ability to make safe Highways and Council amenity land	Strategic	Tom Woodall	John Purchase	B	A	A Q4 2021/22	NC ↔	Open
*PE14 Updated	Non-compliance with Sustainable Urban Drainage System legislation due to capacity of the team Note: risk trend is increasing due to a further loss of existing staff from this team and a continuing inability to attract applicants to vacant posts	Operational	Andy Roberts	TBC	R	R	A Q3 2021/22	R ↑	Open
*PE16	Capacity within the Community and Business Protection teams to resume planned and reactionary programmes of inspections due to existing backlog of work accumulated during the pandemic, ongoing Covid work and recruitment issues due to the national shortage of Environmental Health Officers	Operational	Sian Jones	Helen O'Loughlin/ Marian Davies/ Lisette Bembridge	R	A	Y Q4 2021/22	NC ↔	Open
PE17	Non-compliance with Welsh Language Standards due to the Welsh Language Commissioner's instruction to Flintshire County Council for translation of planning applications and consultation responses	Operational	Lynne Fensome	Sue Davies	R	A	Y Q4 2021/22	NC ↔	Open

ICT & Systems

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*PE21 Updated	Integration and assimilation to new case management system is not achieved Note: risk trend is reducing due to testing of migrated data and technical requirements before setting a go live date.	Project	Lynne Fensome	Sue Davies	R	Y	G Q4 2021/22	G ↓	Open

Closed Risks

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
*PE19	Unsustainable demand on the Employment Mentoring service due to the economic implications of lockdown and the increase in referrals from Job Centres of those seeking employment	Operational	Niall Waller	Sharon Jones	R	A	G Q4 2021/22	G ↓	Closed
*PE18	Procurement, integration and assimilation to new case management system is not achieved due to market forces and compliance with Flintshire County Council terms of contract	Project	Lynne Fensome	Sue Davies	R	G	G O4 2021/22	G ↓	Closed
*PE13	Welsh Government call in the Local Development Plan due to our inability to meet the delivery agreement timetable	Strategic	Andy Roberts	Adrian Walters	B	G	G Q3 2020/21	G ↓	Closed
PE08 PE15	Decrease in Food Safety and Food Standards following exit from the European Union	Operational	Sian Jones	Helen O'Loughlin	B	G	G Q4 2021/22	G ↓	Closed

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
PE22	Increased anxiety among officers required to attend their work base due to non-compliance with risk assessment and increasing numbers of officers testing positive for coronavirus	Operational	Andrew Farrow	PEESMT	R	G	G Q2 2021/22	G ↓	Closed
*PE06	Decreasing availability of funding to deliver fuel poverty projects due to re-prioritisation of funding streams	Operational	Niall Waller	Joseph Muxlow	R	G	G Q1 2021/22	G ↓	Closed
*PE04	Failure to prepare and deliver Growth Deal projects due to potential realigning of capital funding	Strategic	Niall Waller	Rachael Byrne	A	G	G Q1 2021/22	G ↓	Closed
*PE01	Loss of Community Benefits by not maximising the inclusion of social value in Contracts	Strategic	Niall Waller	Olivia Hughes	A	G	G Q4 2021/22	G ↓	Closed
*PE09	Reluctance of staff to return to their work base and “normal working patterns on lifting of lockdown restrictions due to anxiety levels linked to increased exposure and personal circumstances and failure to take account into the personal preferences of officers in designing future service delivery models	Operational	Andrew Farrow	PEESMT	R	G	G Q2 2021/22	G ↓	Closed
PE10	Energy Saving initiatives not included in Capital Projects due to non-involvement of Energy Team with Property and Assets team on design of projects which may result in those projects accruing higher long-term costs	Strategic	Andy Roberts	Energy Team	R	G	G Q4 2021/22	G ↓	Closed
*PE20	Increase in non-compliant sites due to inability to conduct enforcement and site monitoring inspections	Operational	Andrew Farrow	Gary Nancarrow/Sian Jones/Andy Roberts/Tom Woodall	R	G	G Q4 2021/22	G ↓	Closed

Risk Matrix

Impact Severity	Catastrophic	Y	A	R	R	B	B
	Critical	Y	A	A	R	R	R
	Significant	G	G	Y	A	A	R
	Marginal	G	G	G	Y	Y	A
		Unlikely	Very Low	Low	High	Very High	Extremely High
Likelihood of risk happening							