

Planning, Environment and Economy Portfolio Risk Register

Risk Register - Part 1 (Portfolio Management)

Finance

| Key | | |
|---|---|------------------------------|
| Underlying Risk Rating | The risk rating before any mitigating actions | |
| Current Risk Rating | This risk rating following the planned mitigation actions | |
| Target Risk Rating | The risk rating which is realistically achievable and by when | |
| Risk Trend | The trend of the risk since the last review date | |
| Risk Status | Open denotes a live risk | Closed denotes a closed risk |
| *Denotes the risk is specific to the 'Recovery' | | |
| PE | Planning, Environment and Economy | |

| Risk Ref. | Risk Title | Risk Type | Lead Officer | Supporting Officers | Underlying Risk Rating | Current Risk Rating | Target Risk Rating | Risk Trend | Risk Status |
|-------------|--|-------------|---------------|---------------------|------------------------|---------------------|--------------------|------------|-------------|
| *PE02 | Failure to meet income targets for Portfolio due to downturn in submission of planning applications, building regulations applications, Highways DC applications and licensing applications | Operational | Andrew Farrow | Sian Jones | R | Y | Y Q4 2022/23 | NC ↔ | Open |
| *PE03 | Unable to regenerate Town Centres through implementation of the Town Centre Strategy due to insufficient resources and the compound economic consequences of the emergency situation | Strategic | Niall Waller | Karen Whitney Lang | R | A | A Q4 2022/23 | NC ↔ | Open |
| *PE24 | Failure to deliver the Flintshire element of Regional Growth Deal projects | Strategic | Niall Waller | Rachael Byrne | Y | Y | G Q4 2022/23 | NC ↔ | Open |
| PE25 | Domestic energy service is self-funding so remains vulnerable to a shortfall in income levels. | Operational | Niall Waller | Joseph Muxlow | A | Y | G Q4 2022/23 | NC ↔ | Open |
| PE23 | Financial risks arising from programme for private sector homes improvements | Operational | Niall Waller | Joseph Muxlow | Y | G | G Q4 2022/23 | NC ↔ | Open |
| PE26 | Essential Services are disrupted and/or stopped due to contract failure | Strategic | Andrew Farrow | Lynne Fensome | A | Y | G Q4 2022/23 | NC ↔ | Open |
| PE29 New | Failure to deliver the projects that receive funding from the levelling up fund due to the time constraints on the delivery programme for the funding to be used Note : New risk included as the bidding process has been delayed by Welsh Government | Operational | Andrew Farrow | Niall Waller | R | R | G Q4 2022/23 | New | Open |
| PE30 New | Ability of the Portfolio to deliver projects that rely on external funding due to decreasing grant funding | Operational | Andrew Farrow | PEESMT | R | A | G Q4 2022/23 | New | Open |

Workforce

| Risk Ref. | Risk Title | Risk Type | Lead Officer | Supporting Officers | Underlying Risk Rating | Current Risk Rating | Target Risk Rating | Risk Trend | Risk Status |
|-----------|---|-------------|---------------|---------------------|------------------------|---------------------|--------------------|------------|-------------|
| *PE07 | Impact on service delivery due to the resilience of staff and succession planning | Operational | Andrew Farrow | PEESMT | R | A | A Q4 2022/23 | NC ↔ | Open |
| PE28 | Risk to service delivery through inability to recruit into vacant posts | Operational | Andrew Farrow | PEESMT | R | R | A Q4 2022/23 | R ↑ | Open |

External Regulation

| Risk Ref. | Risk Title | Risk Type | Lead Officer | Supporting Officers | Underlying Risk Rating | Current Risk Rating | Target Risk Rating | Risk Trend | Risk Status |
|-----------|------------|-----------|--------------|---------------------|------------------------|---------------------|--------------------|------------|-------------|
|-----------|------------|-----------|--------------|---------------------|------------------------|---------------------|--------------------|------------|-------------|

| Risk Ref. | Risk Title | Risk Type | Lead Officer | Supporting Officers | Underlying Risk Rating | Current Risk Rating | Target Risk Rating | Risk Trend | Risk Status |
|------------------|---|-------------|---------------|---------------------|------------------------|---------------------|--------------------|------------|-------------|
| PE11 | Non-compliance with Welsh Government Carbon Reduction Target due to external factors such as willingness of the wider public to support initiatives, access to funding to develop projects | Strategic | Andrew Farrow | Alex Ellis | R | A | G Q4 2022/23 | R ↑ | Open |
| PE12 Updated | The implications of Ash Die back on finances and reputation of the Council due to the scale of the problem and the ability to make safe Highways and Council amenity land Note : Risk trend is increasing due to the identification of further trees at high risk as peak surveying season is hit in advance of the felling season | Strategic | Tom Woodall | John Purchase | B | R | A Q4 2022/23 | R ↑ | Open |
| *PE14 Updated | Non-compliance with Sustainable Urban Drainage System legislation due to capacity of the team Note: risk trend is increasing due to a further loss of existing staff from this team and a continuing inability to attract applicants to vacant posts | Operational | Andy Roberts | TBC | R | R | A Q4 2022/23 | R ↑ | Open |
| PE17 | Non-compliance with Welsh Language Standards due to the Welsh Language Commissioner's instruction to Flintshire County Council for translation of planning applications and consultation responses | Operational | Lynne Fensome | Sue Davies | R | A | Y Q4 2022/23 | NC ↔ | Open |

ICT & Systems

| Risk Ref. | Risk Title | Risk Type | Lead Officer | Supporting Officers | Underlying Risk Rating | Current Risk Rating | Target Risk Rating | Risk Trend | Risk Status |
|------------------|---|-----------|---------------|---------------------|------------------------|---------------------|--------------------|------------|-------------|
| *PE21 Updated | Integration and assimilation to new case management system is not achieved Note: risk trend is increasing as Phase 2 of the project has started to introduce the system to Community and Business Protection | Project | Lynne Fensome | Sue Davies | R | A | G Q4 2022/23 | R ↑ | Open |

Closed Risks

| Risk Ref. | Risk Title | Risk Type | Lead Officer | Supporting Officers | Underlying Risk Rating | Current Risk Rating | Target Risk Rating | Risk Trend | Risk Status |
|------------------|---|-------------|----------------|--|------------------------|---------------------|--------------------|------------|-------------|
| *PE16 | Capacity within the Community and Business Protection teams to resume planned and reactionary programmes of inspections due to existing backlog of work accumulated during the pandemic, ongoing Covid work and recruitment issues due to the national shortage of Environmental Health Officers | Operational | Sian Jones | Helen O'Loughlin/ Marian Davies/ Lisette Bembridge | R | G | G Q1 2022/23 | NC ↔ | Closed |
| *PE27 Updated | Risk to officers who do not adhere to the hybrid model developed for return to the offices Note: risk trend is reducing due to increasing familiarity with the Portfolio's ways of working and increasing confidence in our ability to provide a safe workplace with the measures in place to prevent the spread of Covid within the workforce | Operational | Andrew Farrow | PEESMT | R | G | G Q3 2021/22 | G ↓ | Closed |
| *PE05 | Failure to meet income targets for Minerals and Waste Shared Service due to downturn in submission of planning applications and reduced compliance visits during lockdown and a subsequent potential economic downturn | Operational | Gary Nancarrow | Robin Williams | R | G | G Q4 2021/22 | NC ↔ | Closed |

| Risk Ref. | Risk Title | Risk Type | Lead Officer | Supporting Officers | Underlying Risk Rating | Current Risk Rating | Target Risk Rating | Risk Trend | Risk Status |
|--------------|---|-------------|---------------|--|------------------------|---------------------|--------------------|------------|-------------|
| *PE19 | Unsustainable demand on the Employment Mentoring service due to the economic implications of lockdown and the increase in referrals from Job Centres of those seeking employment | Operational | Niall Waller | Sharon Jones | R | G | G Q4 2021/22 | G ↓ | Closed |
| *PE18 | Procurement, integration and assimilation to new case management system is not achieved due to market forces and compliance with Flintshire County Council terms of contract | Project | Lynne Fensome | Sue Davies | R | G | G Q4 2021/22 | G ↓ | Closed |
| *PE13 | Welsh Government call in the Local Development Plan due to our inability to meet the delivery agreement timetable | Strategic | Andy Roberts | Adrian Walters | B | G | G Q3 2020/21 | G ↓ | Closed |
| PE08 PE15 | Decrease in Food Safety and Food Standards following exit from the European Union | Operational | Sian Jones | Helen O'Loughlin | B | G | G Q4 2021/22 | G ↓ | Closed |
| PE22 | Increased anxiety among officers required to attend their work base due to non-compliance with risk assessment and increasing numbers of officers testing positive for coronavirus | Operational | Andrew Farrow | PEESMT | R | G | G Q2 2021/22 | G ↓ | Closed |
| *PE06 | Decreasing availability of funding to deliver fuel poverty projects due to re-prioritisation of funding streams | Operational | Niall Waller | Joseph Muxlow | R | G | G Q1 2021/22 | G ↓ | Closed |
| *PE04 | Failure to prepare and deliver Growth Deal projects due to potential realigning of capital funding | Strategic | Niall Waller | Rachael Byrne | A | G | G Q1 2021/22 | G ↓ | Closed |
| *PE01 | Loss of Community Benefits by not maximising the inclusion of social value in Contracts | Strategic | Niall Waller | Olivia Hughes | A | G | G Q4 2021/22 | G ↓ | Closed |
| *PE09 | Reluctance of staff to return to their work base and "normal working patterns on lifting of lockdown restrictions due to anxiety levels linked to increased exposure and personal circumstances and failure to take account into the personal preferences of officers in designing future service delivery models | Operational | Andrew Farrow | PEESMT | R | G | G Q2 2021/22 | G ↓ | Closed |
| PE10 | Energy Saving initiatives not included in Capital Projects due to non-involvement of Energy Team with Property and Assets team on design of projects which may result in those projects accruing higher long-term costs | Strategic | Andy Roberts | Energy Team | R | G | G Q4 2021/22 | G ↓ | Closed |
| *PE20 | Increase in non-compliant sites due to inability to conduct enforcement and site monitoring inspections | Operational | Andrew Farrow | Gary Nancarrow/Sian Jones/Andy Roberts/Tom Woodall | R | G | G Q4 2021/22 | G ↓ | Closed |

Risk Matrix

| | | | | | | | |
|-----------------|--------------|---|---|---|---|---|---|
| Impact Severity | Catastrophic | Y | A | R | R | B | B |
| | Critical | Y | A | A | R | R | R |
| | Significant | G | G | Y | A | A | R |

| | | | | | | | |
|-------------------------------------|-----------------|-----------------|-----------------|------------|-------------|------------------|-----------------------|
| | Marginal | G | G | G | Y | Y | A |
| | | Unlikely | Very Low | Low | High | Very High | Extremely High |
| Likelihood of risk happening | | | | | | | |

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