

GOVERNANCE AND AUDIT COMMITTEE – ACTION SHEETPresented Monday 14th November 2022

27 th January 2021				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
25.	IA Progress Report	To circulate an update on the position on school funds.	L Brownbill	This will be provided to the committee once the school review on school funds has been completed.

24 th November 2021				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
39.	Governance and Audit Committee Self-Assessment	That preparations for the Annual Report should incorporate a review of the Committee's Terms of Reference to ensure that the remit was being met in full.	L Brownbill	This will be undertaken in preparation for the Governance and Audit Committee's Annual report in the Autumn.
39.	Governance and Audit Committee Self-Assessment	That the outcomes and training requirements identified in the action plan from the self-assessment be noted and progressed.	L Brownbill	A training programme will be developed and updated to support the Committee's future self - assessment

14th March 2022

Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
59.	External Regulation Assurance	To include progress on external actions in the Annual Report and AGS process, and to include an appendix to future External Regulation Reports.	L Brownbill	Process updated for Internal Audit Annual Report, AGS and Reporting on External Regulations.

6th June 2022

Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
5.	CIW - Assurance Check	That the Committee's thanks be extended to Social Services' teams for their work relating to the inspection and during the pandemic.	N Ayling	All staff within Social Services Adults and Children were emailed to express thanks following GAC on the 8 th June 2022.
6.	AGS 2021/22	To share information on the latest review of the Corporate Anti-Fraud Strategy with the Committee (Cllr Parkhurst's question).	L Brownbill	The Corporate Anti-Fraud Strategy together with the Anti Money Laundering Statement, Whistleblowing Policy, Fraud Response Plan and the Financial Procedural Rules was emailed to Cllr Parkhurst on 8 th June 2022
7.	Certification of Grants 2020/21	To share information on housing benefit subsidy caseload with the Committee.	D Ledsham	Information on housing benefit subsidy caseloads was shared with committee members on 9 th June 2022
11.	Drury PS – Financial Management Arrangements	A follow up report to be scheduled for January 2023	L Brownbill	A report on the progress made by Drury PS will be presented to GAC in January 2023

27th July 2022

Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
19.	Draft Statement of Accounts 2021/22	To clarify within the final accounts the separate legal arrangements of Theatr Clwyd as opposed to NEW Homes (Cllr Banks suggested in the chat that a brief explanation and link be included under 'Associated Companies' on p.48).	G Ferguson / C Taylor	Following a review of the Code, it would not be categorised as a relationship that gives rise to related parties. The Code states "transactions do not themselves create a related party relationship: there has to be some element of control or influence by one party over another" We do not have this with the Theatr.
19.	Draft Statement of Accounts 2021/22	To share information on financial support to local businesses and communities during the pandemic.	D Barnes	Information emailed to Committee 01/08/22
19.	Draft Statement of Accounts 2021/22	To bring forward the November meeting to accommodate the approval of the accounts.	Committee Services	Meeting has been moved to 14/11/22
20.	Supplementary financial info to SoA	That information on the significant increase in interim/temporary contracts (Table 2) and how this compares to other councils be emailed to the Committee.	S Carney	Information emailed to Committee 04/08/22

28th September 2022

Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
26.	AW Review of Commissioning Older	That the Committee notes the agreed actions in the Management Response to	J Davies	The service will attend January committee to provide an update on the

	People's Care Home Placements by NW Councils and BCUHB	<p>address these recommendations and receives regular updates on the implementation of the actions going forward.</p> <p>That the updates include any actions by Welsh Government, with timescales, as part of the national report by Audit Wales.</p>	Audit Wales	progress made against the findings within the report.
27	School Reserve Balances y/e 31/03/22	To share with the Committee the protocol for schools in financial difficulty.	L Thomas	The protocol for schools in financial difficulty was shared with the Committee on 18 October 2022
28	IA Progress Report	That the responsible officer for Maes Gwern contractual arrangements be invited to attend Committee to provide reasons for overdue actions.	L Brownbill	Officer from Housing will attend November Committee to provide an update
28	IA Progress Report	To liaise with the auditor of the Amber/Red report (Appendix D) on the reconciliation of manual records with information from Finance, to assure the Committee of no associated risks.	L Brownbill	An explanation was provided to members on 24 October 2022