

GOVERNANCE AND AUDIT COMMITTEE – ACTION SHEETPresented Wednesday 22nd March 2023

27 th January 2021				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
25.	IA Progress Report	To circulate an update on the position on school funds.	L Brownbill	This will be provided to the committee once the school review on school funds has been completed during quarter 4 of 2022/23.

14 th March 2022				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
59.	External Regulation Assurance	To include progress on external actions in the Annual Report and AGS process, and to include an appendix to future External Regulation Reports.	L Brownbill	Process updated for Internal Audit Annual Report, AGS and Reporting on External Regulations.

28 th September 2022				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
26.	AW Review of Commissioning Older People's Care Home	That the Committee notes the agreed actions in the Management Response to address these recommendations and receives regular updates on the	J Davies Audit Wales	The service was due to attend March committee to provide an update on the progress made against the findings within the report. Due to requiring an

	Placements by NW Councils and BCUHB	implementation of the actions going forward. That the updates include any actions by Welsh Government, with timescales, as part of the national report by Audit Wales.		update from across the region this paper have been moved to June.
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14th November 2022

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37.	Asset Disposals and Capital Receipts generated 2021/22	To share further details of disposals in Appendix 1 with the Committee, on a confidential basis.	N Cockerton / G Owens / C Taylor	This was shared with committee on the 08/12/2022.
39.	Risk Management Framework	To share dashboard information with the Committee at the January meeting.	L Brownbill	Due to a lack of technical resources within the team this has been moved to June committee.

25th January 2023

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47.	Minutes	To clarify the difference between figures in the appendix, compared with the confidential breakdown shared after the meeting.	G Owens / G Ferguson / C Taylor	The majority of the difference between figures in the appendix, relate to the Maes Gwern development which was omitted due to commercial sensitivity. There was also amounts for receipts received through non disposals, such as release of covenants / easements and repayment of loans. Democratic Services have been asked to record

				this difference in a note on the minutes.
47.	Minutes	To advise the Committee how the above annual report will be presented in future.	G Owens / G Ferguson / C Taylor	Previous reports presented only reviewed disposals of council fund assets over the past year. To include a total of all receipts received in year within the report in the future.
48.	Statement of Accounts 2021/22	That a detailed assurance report be shared with the Committee about arrangements in place to manage potential conflicts of interests of senior officers; and	G Owens	A review of Declarations of Interviews has been included within the Strategic Internal Audit Plan for 2023/24.
48.	Statement of Accounts 2021/22	That a request is made to senior officers who have made such declarations to establish whether they are willing to share that information with the Committee.	G Owens	This will be addressed following the Internal Audit review on Declarations of Interests.
48.	Statement of Accounts 2021/22	To share the Asset Register with the Committee on a confidential basis.	N Cockerton / G Owens / C Taylor	This has been shared with members on 7 th March 2023
49.	Climate Change Strategy	To define milestones in the action plan to support the aims of the strategy.	A Farrow / A Ellis	Future progress reports would be more transparent in including milestone targets and progress against these.
50.	PSOW Annual Letter 2021-22 and Complaints against FCC for first half 2022-23	That the Committee requests additional information for the next report to demonstrate how community feedback helps to identify changes to improve service delivery.	R Jones	This will be included within future reports to Committee.
51.	Treasury Management 2023/24 Strategy and Q3 Update 2022/23	To consider whether reference to the Climate Change Strategy could be included in section 1.03 of the Policy Statement.	G Ferguson / C Taylor	Paragraph amended to reference responsible investment, where possible.

51.	Treasury Management 2023/24 Strategy and Q3 Update 2022/23	To respond to the Committee on Cllr Attridge's query on clause 3 of the TM Code within the CIPFA Practical Guide for Local Authorities' Audit Committees.	G Ferguson / C Taylor	<p>Clause 3 of the Code confirms that responsibility of the treasury management polices and practices are with the Cabinet and the execution and administration of treasury management decisions with the Section 151 Officer.</p> <p>Clause 4 discusses the nominated committee (G&AC for FCC) responsible for ensuring effective scrutiny of the treasury management strategy and polices.</p>
53.	IA Progress Report	To share with the Committee an update on progress with high priority actions from the Homelessness review when available.	L Brownbill	This has been circulated to members on 14 th March 2023
57.	Drury Primary School - Finance Management follow-up	To advise the Committee when the final outstanding action has been completed.	L Brownbill	The action currently remains open. Committee will be notified once this has been closed.