

GOVERNANCE AND AUDIT COMMITTEE – ACTION SHEETPresented Wednesday 27th September 2023

27 th January 2021				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
25.	IA Progress Report	To circulate an update on the position on school funds.	L Brownbill	A summary report is to be presented to Governance and Audit Committee following the September meeting.

25 th January 2023				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
57.	Drury Primary School - Finance Management follow-up	To advise the Committee when the final outstanding action has been completed.	L Brownbill	The action currently remains open. Committee will be notified once this has been closed.

22 nd March 2023				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
62.	External Regulation Assurance	That a reminder is sent to services that progress reports on action plans should be apolitical; and	L Brownbill	The protocol has been updated to reflect this and shared with key officers.

62.	External Regulation Assurance	That the external reporting protocol be reviewed to clarify responsibilities for monitoring progress against action plans after submission to Cabinet and Overview & Scrutiny.	L Brownbill	This is in the process of being developed to align with the new planning, performance and risk management system.
62.	External Regulation Assurance	To liaise with the service on the 'Welsh Labor Government' wording on p.2 of the summary document.	L Brownbill	The relevant services will be contacted once the protocol has been revised.
67.	IA Strategic Plan	To share with the Ctte the report on the investigation into the Cabinet meeting in Feb when available.	G Owens	This will be shared once the investigation report has been finalised.

14th June 2023

Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
5.	Minutes	To re-send to Cllr Attridge the email on actions for the Statutory Training audit in SS&T.		The email was resent to Cllr Attridge on 14 th June 2023
6.	AW review of Commissioning Older People's Care Home Placements	To share the latest delayed discharges figures in Flintshire with the Ctte.	J Davies	This was circulated to members on 23 June 2023.
6.	AW review of Commissioning	To share a follow-up report on progress with actions at a later stage.	L Brownbill	This will be provided at a later meeting once the work has been completed.

	Older People's Care Home Placements			
7	Estyn inspection of ACL	To pass on the Committee's thanks to the team for their work and the positive report.	C Homard / V Barlow	This has been actioned
8	AGS 2022/23	To amend reference to 'lean' in the AGS.	L Brownbill	Report amended
8	AGS 2022/23	To liaise with Cllr Marshall on his concerns re Contact Centre.	G Owens	This has been included on the October Forward Work Programme for Corporate Resources Overview & Scrutiny Committee.
9	Annual Summary Audit	To review the AW report on 'A Missed Opportunity - Social Enterprises' and liaise with officers with a view to including on the Audit Plan.	L Brownbill	This will be picked up and reviewed at the relevant Portfolio DMT meeting
9	Annual Summary Audit	That the AW report under the Assurance and Risk Assessment Review heading (p.100 of agenda) be scheduled on the FWP.	L Brownbill	AW Assurance and Risk Assessment Report is included within the forward work programme for November 2023
10	Risk Management Update	To agree criteria for inviting Chief Officers to address the Committee on their risks as part of the process.	L Brownbill	This is currently in the process of being developed
12	IA Annual Report	To include a note on the assessment of red actions	L Brownbill	This will be included for future reports
13	IA Progress Report	That the officer responsible for Highways be invited to attend in July 2023 to update the Committee on progress with Amber Red overdue	L Brownbill	The officer responsible for the Highways actions was invited to the July committee however they were unable to attend. A detailed update was provided to member instead.

		actions identified within the report.		
13	IA Progress Report	To update the Committee on progress with medium overdue actions in Payroll.	L Brownbill	This is included within the progress report for actions outstanding.
13	IA Progress Report	To invite the responsible officer for Corporate Complaints to the Sept meeting to give an update on progress with actions on the Amber Red report.	L Brownbill	The officer responsible for the corporate Complaints actions is unable to attend Septembers committee, however a detailed update has been provided and included within the IA Progress report.
13	IA Progress Report	To share a summary of outcomes on investigations 2.1 & 2.2 in closed session on completion of those reviews as requested by Cllr Parkhurst. To share information on completed investigations in private session where requested, ie after meetings have ended.	L Brownbill	This will be actions once completed.
13	IA Progress Report	To liaise with Planning, Environment & Economy to clarify Cllr Banks' query on how value for money was established when one contractor is involved (ref 3379).	L Brownbill	Members have been updated with a response from the service 20 September 2023
14	GAC Self-Assessment Action Plan	To share the GAC Terms of Reference with Cllr Palmer.	L Brownbill	The TOR was shared with Cllr Palmer following the Committee Meeting in June 2023.
18	Cyber Resilience	That the item is scheduled for the Corporate Resources Overview & Scrutiny	G Owens	This was raised and debated at Corpore Resources Overview and Scrutiny Committee on 14 September 2023.

		Committee to give assurance on the findings of the Audit Wales report and preparedness of the Council.		
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26 th July 2023				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
22.	Draft Statement of Accounts 2022/23	<p>Suggestions for presentation of data in future reports:</p> <ul style="list-style-type: none"> • Extra column to show budget variances for each portfolio on capital programme spend (p.3-5). • Definition of prescribed wording such as 'inalienable assets' (p.25). 	G Ferguson	To be included in future versions
22.	Draft Statement of Accounts 2022/23	<p>To share responses to the Committee on:</p> <ul style="list-style-type: none"> • The difference between the £1.723m rent arrears outturn (p.4) as opposed to the figure on p.31. • Confirmation whether anyone other than NEW Homes has received soft loans (p.60). • Whether the unvalued items in the Archives are covered by insurance and 	G Ferguson	Responses circulated to members of the Committee 19 th September 2023

		<p>included in the risk register (p.84).</p> <ul style="list-style-type: none"> • The impact of pension deficits if the Fund disinvested in companies linked to fossil fuels, and any impact on the Council's finances (p.8). • Explanation on the reduction in receipts from BCUHB and WLGA (p.47). • How the interests of senior managers who are directors of companies doing business with the Council are reflected in the accounts - specific example given by Cllr Parkhurst (p.45/46). 		
23.	Supplementary Financial Information to Draft SoA	To include additional columns on tables 1 and 2 for future reports to show spend in the previous year for comparison purposes.	G Ferguson	To be included in future versions.
24.	Treasury Mgt Annual Report 2022/23 & Q1 Update 2023/24	To clarify the different figures on new debt shown in section 3.02 of the Annual Report - £5.4m in the first table and £5m in the second table.	G Ferguson N Wilcock	The £0.4m relates to 'Invest to Save' loans from Welsh Government the Council have received in year relating to specific projects. These are interest free loans and are repaid from the savings generated from the project over an agreed payback period. The £5m is a long-term loan with Council has taken with the PWLB.
25.	Certification of Grants & Returns 2021/22	To share an update with the Committee on engagement with Civica to resolve the system errors, including any	G Ferguson	As with any computer system issues do occur from time to time. Each time an issue occurs, a call is raised with Civica. Many of these issues are fixed with support and guidance from Civica, others may

		collective approaches with other authorities.		<p>require a system update and are then fixed on a future release.</p> <p>In a small number of cases, Civica are unable to provide a fix in the usual way and these are logged as a 'bug'. This is usually the case where we are the only Local Authority to have experienced the issue, and despite investigation Civica are unable to remedy the problem. These are monitored via the bugs list and can take a long time to resolve. We do contact Civica at regular intervals throughout the year, and if the issue occurs again on a different claim, then the issue is escalated.</p>
26.	Issue from the June meeting	To refer Cllr Marshall's concerns about telephone answering times to the Corporate Resources OSC.	N Cockerton / S Goodrum	This was raised and debated at Corporate Resources Overview and Scrutiny Committee on 14 September 2023.