



Contact Officer:
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To: Cllr Chris Dolphin (Chairman)

Councillors: Janet Axworthy, Geoff Collett, Andy Dunbobbin, Patrick Heesom,
Paul Johnson and Arnold Woolley

Co-opted Members:

Sally Ellis and Allan Rainford

12 November 2019

Dear Sir/Madam

You are invited to attend a meeting of the Audit Committee which will be held at 10.00 am on Wednesday, 20th November, 2019 in the Clwyd Committee Room, County Hall, Mold CH7 6NA to consider the following items

This agenda is subject to restrictions on content due to the Election Period which runs from 7th November to 13th December.

A G E N D A

1 APOLOGIES

Purpose: To receive any apologies.

2 DECLARATIONS OF INTEREST (INCLUDING WHIPPING DECLARATIONS)

Purpose: To receive any Declarations and advise Members accordingly.

3 MINUTES (Pages 5 - 12)

Purpose: To confirm as a correct record the minutes of the meeting on 11 September 2019.

4 SCHOOL RESERVE BALANCES YEAR ENDING 31 MARCH 2019 (Pages 13 - 20)

Report of Chief Officer (Education and Youth) - Leader of the Council and Cabinet Member for Education

Purpose: To report the level of school balances to Audit Committee and to highlight the risks and internal processes associated with schools in deficit.

5 **OUTCOME OF ESTYN INSPECTION** (Pages 21 - 42)

Report of Chief Officer (Education and Youth) - Leader of the Council and Cabinet Member for Education

Purpose: To advise of the outcome of the recent Estyn inspection of Flintshire County Council Education Services.

6 **ASSET DISPOSALS AND CAPITAL RECEIPTS GENERATED 2018/19**
(Pages 43 - 50)

Report of Chief Officer (Housing and Assets) - Cabinet Member for Corporate Management and Assets

Purpose: To inform Members of the 2018/19 asset disposals.

7 **TREASURY MANAGEMENT MID-YEAR REVIEW 2019/20 AND QUARTER 2 UPDATE** (Pages 51 - 78)

Report of Corporate Finance Manager - Cabinet Member for Finance

Purpose: To present to Members the draft Treasury Management Mid-Year Review 1st April - 30th September 2019 for comments and recommendation for approval to Cabinet.

8 **SUBMISSION OF CERTIFIED GRANTS AND RETURNS 2018/19** (Pages 79 - 88)

Report of Corporate Finance Manager - Cabinet Member for Finance

Purpose: To inform Members of progress on the submission of grant claims requiring grant certification from Wales Audit Office for the year ended 31 March 2019 and to provide an update on progress with actions arising from the 2017/18 certification process.

9 **RISK MANAGEMENT UPDATE** (Pages 89 - 124)

Report of Chief Executive - Leader of the Council and Cabinet Member for Education

Purpose: To endorse the Council's actions taken to mitigate the significant risks at the mid-year point.

10 **CONTRACT MANAGEMENT** (Pages 125 - 140)

Report of Chief Officer (Governance) - Cabinet Member for Corporate Management and Assets

Purpose: To update the Committee on the audit review of contract management.

11 **USE OF CONSULTANTS** (Pages 141 - 146)

Report of Chief Executive - Cabinet Member for Corporate Management and Assets

Purpose: To consider compliance with processes and procedures around consultancy spend, and the accuracy of coding of consultancy spend on the general ledger.

12 **INTERNAL AUDIT PROGRESS REPORT** (Pages 147 - 218)

Report of Internal Audit Manager -

Purpose: To present to the Committee an update on the progress of the Internal Audit Department.

13 **AUDIT COMMITTEE ACTION TRACKING** (Pages 219 - 222)

Report of Internal Audit Manager -

Purpose: To inform the Committee of the actions resulting from points raised at previous Audit Committee meetings.

14 **FORWARD WORK PROGRAMME** (Pages 223 - 230)

Report of Internal Audit Manager -

Purpose: To consider the Forward Work Programme of the Internal Audit Department.

Yours faithfully



Robert Robins
Democratic Services Manager