

**Gareth Owens LL.B Barrister/Bargyfreithiwr**  
Chief Officer (Governance)  
Prif Swyddog (Llywodraethu)



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To: Cllr Chris Dolphin (Chairman)

Councillors: Janet Axworthy, Geoff Collett, Patrick Heesom, Joe Johnson,  
Martin White and Arnold Woolley

**Co-opted Members:**

Sally Ellis and Allan Rainford

20 January 2022

Dear Sir/Madam

**NOTICE OF REMOTE MEETING**  
**GOVERNANCE AND AUDIT COMMITTEE**  
**WEDNESDAY, 26TH JANUARY, 2022 at 10.00 AM**

Yours faithfully

Gareth Owens  
Chief Officer (Governance)

Please note: This will be a remote meeting and 'attendance' will be restricted to Committee Members and those Members of Council who have asked the Head of Democratic Services for an invitation. Such attendees may only speak at the Chair's discretion.

The meeting will be live streamed onto the Council's website. A recording of the meeting will also be available, shortly after the meeting at <https://flintshire.public-i.tv/core/portal/home>

If you have any queries regarding this, please contact a member of the Democratic Services Team on 01352 702345.

## A G E N D A

### 1 **APOLOGIES**

**Purpose:** To receive any apologies.

### 2 **DECLARATIONS OF INTEREST (INCLUDING WHIPPING DECLARATIONS)**

**Purpose:** To receive any Declarations and advise Members accordingly.

### 3 **MINUTES** (Pages 5 - 12)

**Purpose:** To confirm as a correct record the minutes of the meeting held on 17 November 2021.

### 4 **TREASURY MANAGEMENT STRATEGY 2022/23 - TREASURY MANAGEMENT POLICY STATEMENT, PRACTICES AND SCHEDULES 2022-25 AND QUARTER 3 UPDATE 2021/22** (Pages 13 - 94)

Report of Corporate Finance Manager - Cabinet Member for Finance, Social Value and Procurement

**Purpose:** (1) To present to Members the draft Treasury Management Strategy 2022/23 for comments and recommendations for approval to Cabinet (2) To provide an update on matters relating to the Council's Treasury Management Policy, Strategy and Practices to the end December 2021.

### 5 **CODE OF CORPORATE GOVERNANCE** (Pages 95 - 124)

Report of Chief Executive -

**Purpose:** To endorse the review of the Code of Corporate Governance.

### 6 **ANNUAL GOVERNANCE STATEMENT 2020/21 MID-YEAR PROGRESS REPORT** (Pages 125 - 146)

Report of Chief Officer (Governance) -

**Purpose:** To provide the Governance and Audit Committee with an update of the progress made against managing the issues identified within the 2020/21 Annual Governance Statement.

7 **INTERNAL AUDIT CHARTER** (Pages 147 - 182)

Report of Internal Audit, Performance and Risk Manager -

**Purpose:** To outline to Members the updated Internal Audit Charter.

8 **INTERNAL AUDIT PROGRESS REPORT** (Pages 183 - 224)

Report of Internal Audit, Performance and Risk Manager -

**Purpose:** To present to the Committee an update on the progress of the Internal Audit Department.

9 **GOVERNANCE AND AUDIT COMMITTEE ACTION TRACKING** (Pages 225 - 230)

Report of Internal Audit, Performance and Risk Manager -

**Purpose:** To inform the Committee of the actions resulting from points raised at previous Governance and Audit Committee meetings.

10 **FORWARD WORK PROGRAMME** (Pages 231 - 240)

Report of Internal Audit, Performance and Risk Manager -

**Purpose:** To consider the Forward Work Programme of the Internal Audit Department.

***Please note that there may be a 10 minute adjournment of this meeting if it lasts longer than two hours***

## **Procedural Note on the conduct of meetings**

The Chair will open the meeting and introduce themselves.

The meeting will be attended by a number of Councillors. Officers will also be in attendance to present reports, with Democratic Services officers acting as hosts of the meeting.

All attendees are asked to ensure their mobile phones are switched off and that any background noise is kept to a minimum.

All microphones are to be kept muted during the meeting and should only be unmuted when invited to speak by the Chair. When invitees have finished speaking they should go back on mute.

To indicate to speak, Councillors will use the chat facility or use the electronic raise hand function. The chat function may also be used for questions, relevant comments and officer advice and updates.

The Chair will call the speakers, with elected Members addressed as 'Councillor' and officers addressed by their job title e.g. Chief Executive' or name. From time to time, the officer advising the Chair will explain procedural points or suggest alternative wording for proposals, to assist the Committee.

If and when a vote is taken, the Chair will explain that only those who oppose the proposal(s), or who wish to abstain will need to indicate, using the chat function. The officer advising the Chair will indicate whether the proposals are carried.

If a more formal vote is needed, this will be by roll call – where each Councillor will be asked in turn (alphabetically) how s/he wishes to vote

At County Council and Planning Committee meetings speaker's times are limited. A bell will be sounded to alert that the speaker has one minute remaining

The meeting will be live streamed onto the Council's website. A recording of the meeting will also be available, shortly after the meeting at <https://flintshire.public-i.tv/core/portal/home>