

Gareth Owens LL.B Barrister/Bargyfreithiwr
Chief Officer (Governance)
Prif Swyddog (Llywodraethu)



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To:

Councillors: Members of the Governance and Audit Committee

Co-opted Members:

Sally Ellis, Reverend Brian Harvey and Allan Rainford

31 May 2022

Dear Sir/Madam

NOTICE OF REMOTE MEETING
GOVERNANCE AND AUDIT COMMITTEE
WEDNESDAY, 8TH JUNE, 2022 at 10.00 AM

Yours faithfully

Steven Goodrum
Democratic Services Manager

The meeting will be live streamed onto the Council's website. A recording of the meeting will also be available, shortly after the meeting at <https://flintshire.public-i.tv/core/portal/home>

If you have any queries regarding this, please contact a member of the Democratic Services Team on 01352 702345.

A G E N D A

1 APPOINTMENT OF CHAIR

Purpose: To appoint a Chair for the Committee.

2 APPOINTMENT OF VICE-CHAIR

Purpose: To appoint a Vice-Chair for the Committee.

3 APOLOGIES

Purpose: To receive any apologies.

4 DECLARATIONS OF INTEREST (INCLUDING WHIPPING DECLARATIONS)

Purpose: To receive any Declarations and advise Members accordingly.

5 MINUTES (Pages 7 - 12)

Purpose: To confirm as a correct record the minutes of the meeting held on 14 March 2022.

6 CARE INSPECTORATE WALES (CIW) - ASSURANCE CHECK (Pages 13 - 26)

Report of Chief Officer (Social Services) - Deputy Leader of the Council and Cabinet Member for Social Services and Wellbeing

Purpose: To note the content of the Annual Performance letter, Care Inspectorate Wales (CIW) assessment of the authority's performance during the year 2020/21 and CIW's Performance.

7 ANNUAL GOVERNANCE STATEMENT 2021/22 (Pages 27 - 62)

Report of Chief Officer (Governance) -

Purpose: To endorse the Annual Governance Statement for 2021/22.

8 CERTIFICATION OF GRANTS AND RETURNS 2020/21 (Pages 63 - 86)

Report of Corporate Finance Manager -

Purpose: To inform Members of the grant claim certification by Audit Wales for the year ended 31 March 2021.

9 **AUDIT WALES 2022 AUDIT PLAN** (Pages 87 - 106)

Report of Chief Executive, Corporate Finance Manager -

Purpose: To review the Audit Wales - Audit Plan 2022 for the Council which sets out the proposed audit work for the year along with timescales, costs and the audit teams responsible for carrying out the work.

10 **INTERNAL AUDIT ANNUAL REPORT 2021/22** (Pages 107 - 126)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To inform members of the outcome of all audit work carried out during 2021/22 and to give the annual Internal Audit opinion on the standard of internal control, risk management and governance within the Council.

11 **GOVERNANCE AND AUDIT COMMITTEE ACTION TRACKING** (Pages 127 - 130)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To inform the Committee of the actions resulting from points raised at previous Governance and Audit Committee meetings.

12 **FORWARD WORK PROGRAMME** (Pages 131 - 138)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To consider the Forward Work Programme of the Internal Audit Department.

13 **INTERNAL AUDIT PROGRESS REPORT** (Pages 139 - 180)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To present to the Committee an update on the progress of the Internal Audit Department.

**LOCAL GOVERNMENT (ACCESS TO INFORMATION) ACT 1985 - TO
CONSIDER THE EXCLUSION OF THE PRESS AND PUBLIC**

The following item is considered to be exempt by virtue of Paragraph(s) 12, 14 of Part 4 of Schedule 12A of the Local Government Act 1972 (as amended).

The report contains personal data and the public interest in withholding the information overrides the public interest in disclosing it.

14 **DRURY PRIMARY SCHOOL – FINANCIAL MANAGEMENT
ARRANGEMENTS** (Pages 181 - 198)

Report of Internal Audit, Performance and Risk Manager -

Purpose: To inform Committee of the Internal Audit review of Drury Primary School.

Please note that there may be a 10 minute adjournment of this meeting if it lasts longer than two hours

Procedural Note on the conduct of meetings

The Chair will open the meeting and introduce themselves.

The meeting will be attended by a number of Councillors. Officers will also be in attendance to present reports, with Democratic Services officers acting as hosts of the meeting.

All attendees are asked to ensure their mobile phones are switched off and that any background noise is kept to a minimum.

All microphones are to be kept muted during the meeting and should only be unmuted when invited to speak by the Chair. When invitees have finished speaking they should go back on mute.

To indicate to speak, Councillors will use the chat facility or use the electronic raise hand function. The chat function may also be used for questions, relevant comments and officer advice and updates.

The Chair will call the speakers, with elected Members addressed as 'Councillor' and officers addressed by their job title e.g. Chief Executive' or name. From time to time, the officer advising the Chair will explain procedural points or suggest alternative wording for proposals, to assist the Committee.

If and when a vote is taken, the Chair will explain that only those who oppose the proposal(s), or who wish to abstain will need to indicate, using the chat function. The officer advising the Chair will indicate whether the proposals are carried.

If a more formal vote is needed, this will be by roll call – where each Councillor will be asked in turn (alphabetically) how s/he wishes to vote

At County Council and Planning Committee meetings speaker's times are limited. A bell will be sounded to alert that the speaker has one minute remaining

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