

APPENDIX 1

Ref no.	Risk description	Consequence	Score / level of inherent risk	Risk reduction measures & controls	Score / residual risk score as at current SPR	Further Action	Milestone dates	Action owner
1	Commissioners and stakeholders don't engage with the process	Poor quality commissioning plan, lack of support for implementation	C2	Communication plan Gaining support & participation through meetings and reports	E2			Project team
2	Time and resources wasted if the process is not successful	Time and resources wasted	C3	Collateral agreement that partners continue with the process if individual authorities pull out	E4			Project team
3	Complexity of allocating budgets and variance in contracts	Unable to reach an agreement	C1	Business case with payment options to be agreed	D4			Project team
4	The regionalisation of education services will bring complexities in terms of allocating budgets. There is a risk that delegated budgets to schools may mean inconsistency in service across North Wales.	Unable to include education in the joint commissioning process for 2016	C4	Early discussions with NW heads of education services	C4			Project team
5	The demand and usage of the	The collaboration will break down	C2	Budget allocations agreed through a	E4			Project team

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	service could be dominated by one of the partners			business case; should be addressed in the contract and service level agreement.				
6	Savings may present a risk to quality of service	Quality of service for children and young people compromised	D2	Savings to be made on management costs rather than service provision. Service specification to specify priorities for provision.	E3			Project team
7	An appropriate provider does not submit a tender	The service cannot be commissioned	D2	Meet the provider event and collaboration workshop organised. Encourage consortia tenders for a large contract	E2			
8	Transfer of service could affect existing service users	Availability and quality of service for children and young people is compromised	C2	Oversee TUPE transfer arrangements if necessary	D3			

Likelihood	Almost certain	A					
	Likely	B					
	Possible	C					
	Unlikely	D					
	Rare	E					
				5	4	3	2
			Very low	Low	Medium	High	Very high

Impact

