

Education and Youth Portfolio Risk Register

Version 05

Reviewed: 22.10.20

Risk Register - Part 1 (Portfolio Management)

Finance

Key	
Underlying Risk Rating	The risk rating before any mitigating actions
Current Risk Rating	This risk rating following the planned mitigation actions
Target Risk Rating	The risk rating which is realistically achievable and by when
Risk Trend	The trend of the risk since the last review date
Risk Status	Open denotes a live risk Closed denotes a closed risk
*Denotes the risk is specific to the 'Recovery'	
EY	Education and Youth

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
EY01	Secondary schools are not financially viable due to insufficient base funding	Strategic	Claire Homard	David Bateman/Lucy Morris	R	R	A Open	A ↔	Open
EY02 Updated Oct 2020	Inability to delivery statutory Youth Services due to uncertainty about grant funding Note: risk closed due to Full year funding received for statutory service areas	Strategic	Ann Roberts	James Warr	A	G	G Open	G ↓	Closed
EY04	Increased financial costs to the Inclusion Service due to legal challenges	Strategic	Claire Homard	Jeanette Rock	R	A	A Q2 2021/22	G ↓	Open
EY05	Insufficient financial resources to support children and young people's emotional health	Strategic	Claire Homard	Jeanette Rock/Ann Roberts	A	Y	A Open	G ↓	Open
EY06	Insufficient funding to deliver new archive premises	Strategic	Claire Harrington	Claire Homard	R	A	A Q4 2021/22	A ↔	Open

Workforce

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
EY07	Capacity on service delivery is impacted by portfolio workforce absence	Operational	Claire Homard	DMT	Y	G	G Q4 2021/22	G ↓	Open

Property & Assets

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
EY11	Fluctuating pupil demography impacts on sufficiency of school places	Strategic	Damian Hughes	n/a	A	Y	Y Open	G ↓	Open
EY12	Deteriorating school buildings adversely impacts on curriculum delivery	Strategic	Damian Hughes	n/a	A	Y	Y Open	G ↓	Open

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
EY13	Inability to fully deliver on Welsh Government 21 st Century School Building Programme due to financial, workforce and contractor implications	Strategic	Claire Homard	Damian Hughes	A	A	Y Open	A ↔	Open
EY14	Welsh Government Childcare Capital programme is not fully completed resulting in a reduction of childcare places	Strategic	Gail Bennett	Byra Foulkes	R	A	A Q4 2021/22	A ↔	Open

Governance/Legal

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
EY15	Non-compliance with the Additional Learning Needs Education Tribunal (ALNET) Act 2018	Strategic	Jeanette Rock	Paula Roberts	Y	Y	G Q2 2021/22	A ↔	Open
EY16	Failure to make statutory provision for learners with Special Educational Needs (SEN) due to resource availability	Operational	Jeanette Rock	Paula Roberts	R	A	Y Q2 2021/22	G ↓	Open
EY17	Failure to meet the statutory targets in the Welsh in Education Strategic Plan due to insufficient parental take up of Welsh medium education	Strategic	Claire Homard	Vicky Barlow	A	A	Y Q4 2030/31	A ↔	Open
EY18 Updated Oct 2020	Young people unable to access counselling services due to the closure of schools Note: risk closed. The Counselling service is currently accessing training to be able to offer on-line and telephone counselling as an additional /alternative model of service delivery	Operational	Jeanette Rock	Nigel Pattinson	A	G	G Q4 2021/22	G ↓	Closed
EY19	Insufficient local education provision to support learners with mental health needs	Operational	Jeanette Rock	Tim Ford / John Grant	R	A	Y Q2 2022/23	G ↓	Open
EY20	Increased number of Special Educational Needs Tribunals due to an inability to meet the statutory assessment process requirements and accurately identify individual needs (link to EY 16)	Operational	Jeanette Rock	Paula Roberts/Nigel Pattinson	A	Y	Y Q2 2021/22	G ↓	Open

External Regulation

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
EY22	Inability to meet the recommendations arising from the Local Authority's Estyn Inspection 2019	Strategic	Claire Homard	Vicky Barlow	A	Y	G Q4 2022	G ↓	Open
EY23	Poor outcome of Ministry of Justice Inspection due to non-compliance with National Youth Justice Standards and ineffective governance from Youth Justice Board	Strategic	Ann Roberts	James Warr	A	Y	G Q4 2021/22	G ↓	Open

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
EY24	Inability to meet national archive accreditation standards due to poor quality of the accommodation	Strategic	Claire Harrington	Claire Homard	A	Y	G Q4 2024/25	G ↓	Open

Risk Register - Part 2 (Portfolio Service & Performance)

School Improvement

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
EY25	Schools insufficiently prepared to implement new curriculum which impacts adversely on learners	Strategic	Vicky Barlow	Primary Learning Adviser post - vacant	A	Y	G Q2 2021/22	G ↓	Open
EY26	Ineffective school leadership results in poor pupil outcomes which increases risk of schools being placed in statutory Estyn categories	Strategic	Vicky Barlow	Primary Learning Adviser post - vacant	A	Y	G Open	G ↓	Open
EY27	School leadership and governance is ineffective due to lack of engagement in professional development and support programmes	Operational	Vicky Barlow	Kim Brookes	Y	Y	G Open	A ↔	Open
EY28	Ineffective school leadership results in standards of Welsh in schools falling below the national average	Operational	Vicky Barlow	Rhian Roberts	A	Y	Y Open	G ↓	Open
EY29	Reduced performance in Science, Technology , Engineering and Maths subjects at Post 16 could impact on access to local apprenticeships and progression into higher education	Operational	Vicky Barlow	-	Y	Y	G Open	G ↓	Open
EY33 New	Inability of schools to operate safely and deliver statutory education due to reduction in staffing levels	Operational	Claire Homard	Vicky Barlow	Y	A	Y Open	R ↑	Open

Inclusion & Progression

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
EY30	Increased numbers of young people not in Education, Training & Employment due to lack of pupil engagement	Operational	Jeanette Rock	John Grant	Y	Y	G Q4 2022/23	A ↔	Open

Integrated Youth Provision

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
EY31	Increase in young people subject to intervention by the Youth Justice Services due to the impact of school closures and revised service delivery models	Operational	Ann Roberts	James Warr	A	Y	G Q4 2021/22	G ↓	Open
EY32	Young people in the Youth Justice Service not accessing minimum statutory education offer due to lack of pupil engagement	Strategic	Ann Roberts	James Warr	A	A	G Q4 2021/22	A ↔	Open

Previously Closed Risks

Risk Ref.	Risk Title	Risk Type	Lead Officer	Supporting Officers	Underlying Risk Rating	Current Risk Rating	Target Risk Rating	Risk Trend	Risk Status
EY03	Inability to deliver Inclusion & Progression Services due to uncertainty about grant funding	Strategic	Jeanette Rock	John Grant/ Lisa Davies	A	G	G Q3 2020/21	G ↓	Closed
*EY08	Inability to provide emergency childcare provision during school closure period due to workforce availability	Operational	Vicky Barlow	Jeanette Rock	A	G	G Q2 2020/21	G ↓	Closed
*EY09	Insufficient emergency childcare provision in summer holidays if school hubs directed to close by Welsh Government	Operational	Claire Homard	Vicky Barlow	A	Y	G Q2 2020/21	G ↓	Closed
*EY10	Inability of schools to reOpen safely to deliver statutory education due to reductions in workforce, availability of sufficient PPE and infrastructure (catering/transport)	Strategic	Claire Homard	Vicky Barlow	R	A	G Q3 2020/21	G ↓	Closed
*EY21	Reduced safeguarding referrals due to school closures	Strategic	Claire Homard	Vicky Barlow	R	Y	Y Q2 2020/21	G ↓	Closed

Risk Matrix

Impact Severity	Catastrophic	Y	A	R	R	B	B
	Critical	Y	A	A	R	R	R
	Significant	G	G	Y	A	A	R
	Marginal	G	G	G	Y	Y	A
		Unlikely	Very Low	Low	High	Very High	Extremely High
Likelihood of risk happening							