



Corporate Risk Register Analysis

Corporate Resources Overview and Scrutiny October 2020

Open and Closed Risk Trend

- » 49 risks in total in the Corporate Register before Committee today
- » 0 recommended for closure
- » 17 closed previously
- » 17/66 risks to be closed at this stage representing a 25.8% closure rate
- » Closed: Finance 0, Workforce 13, Governance 4

Current Risk Status Analysis

Portfolio	Red	Amber	Green
Finance	5 (-2)	11 (+1)	4 (+1)
Workforce	0 (-)	9 (-)	10 (-6)
Governance	0 (-)	8 (-)	2 (-2)

() = represents the changes since September 2019

Risk Trend Status Analysis

- » **The next slide presents changes in Risk Trend Status.**
- » **Risk Trend is based on the changes since the previous update.**
- » **You will see an increase in Amber Risk Trends due to a number of Risks not changing since September updates.**

Risk Trend Status Analysis

Portfolio	Red	Amber	Green
Finance	1 (-1)	18 (+6)	1 (-5)
Workforce	1 (+1)	8 (-1)	10 (-8)
Governance	0 (-)	10 (+8)	0 (-10)

() = represents the changes since September 2019



Corporate Recovery Risk Register

Corporate Resources Overview and Scrutiny October 2020

Purpose of Presentation

- » To share updates on the corporate risks where the risk status has changed since Corporate Resource's Overview and Scrutiny committee in September 2020
- » To give assurance over the levels of active risk management and mitigation

Finance 1/4

Risk	Current Status	Trend Status	Explanation
CF01 - No Government funding to replace income lost	G	G ↓	Eligibility details have been received which is consistent with claims made to date (with the exception of car park income).
CF02 – A continued loss of income in the recovery phase and beyond	A	A ↔	Risk is reduced in 2020/21 now that funding is confirmed to the end of the financial year – funding still uncertain from April 2021

Finance 2/4

Risk	Current Status	Trend Status	Explanation
CF03 – A continued loss of income in trading services in a phased lock-down	A	A ↔	Risk is reduced in 2020/21 now that funding is confirmed to the end of the financial year – funding still uncertain from April 2021
CF19 - A reduction in Business Rates collection	R	A ↔	Recovery processes have now recommenced in full whilst still engaging with businesses to provide flexible payment agreements where needed. The risk of losses to the national pool is being tracked on a monthly basis by Welsh Government

Finance 3/4

Risk	Current Status	Trend Status	Explanation
CF06 - Insufficient reserves remain following the response phase	A	A ↔	Our strategy is to protect an over-exposure of our reserves by maximising our claim grants to Welsh Government for refunds of additional emergency expenditure and the recovery of lost income. Risk of a worsening in-year outturn remains for the second half of the year.
CF07 – Insufficient reserves impact on the financial resilience of the Council to sustain a lengthy recovery phase	A	A ↔	This risk is being taken into account in the review of the Medium-Term Financial Strategy (MTFS) and is impacted by CF06 above

Finance 4/4

Risk	Current Status	Trend Status	Explanation
CF08 - A reduction in Council Tax collection	R	A ↔	The re-commencement of recovery is now helping to increase income levels. At the end of September income levels are £1.34m below target – a small improvement on the previous month.
CF09 - Budget impacts of additional immediate demand under the Council Tax Reduction Scheme (CTRS)	A	A ↔	Formal confirmation of funding has been received for quarter 1 (£58.5k). Negotiations continue for enhanced support from quarter two onwards

Workforce 1/2

Risk	Current Status	Trend Status	Explanation
CW09 – Regional Test, Trace and Protect	A	R ↑	An increase in demand has necessitated an increase in the team and size and use of our deployment 'bank' employees.
CW12 – Impact of changes to service performance/continuity	G	G ↓	No major changes to service models have been necessary at this stage.

Workforce 2/2

Risk	Current Status	Trend Status	Explanation
CW24 - Workforce availability/productivity as a result of caring responsibilities	A	A ↔	Employees whose role allows it, and who are fit to work, are expected to work from home.
CW25 - Impact on International/European PPE supply chain following Brexit	A	A ↔	PPE suppliers have reported that masks, aprons, hand sanitisers and eye protection in the short term will not change, with some suppliers holding high stock levels. However gloves present a real concern being expensive but uncertainty about long-term supply

Governance 1/4

Risk	Current Status	Trend Status	Explanation
CG01 – Cyber-attack through bogus Covid-19 email	A	A ↔	Risk is being mitigated through the use of mail scanning tools and staff education.
CG02 - Loss of data or system hack	A	A ↔	The security measures that we have in place in order to achieve PSN compliance continue to mitigate the risks.

Governance 2/4

Risk	Current Status	Trend Status	Explanation
CG03 – Data loss or inaccuracy	A	A ↔	Current policy, practice and controls continue.
CG07 – Legal challenge to a decision made under temporary remote working legislation	G	A ↔	We are working to implement public participation in remote meetings which is the main complaint

Governance 3/4

Risk	Current Status	Trend Status	Explanation
CG08 – Emergency legislation is repealed prematurely	A	A ↔	WG has agreed to monitor the infection rates and impact on local government when current emergency legislation is due to expire
CG09 - Technology and/or the functioning of remote meetings	A	A ↔	Meetings are able to proceed using video conferencing or telephone connections

Governance 4/4

Risk	Current Status	Trend Status	Explanation
CG10 – Technology failure during member meeting	A	A ↔	This will always remain a risk dependent upon the IT connection of every participant
CG13 – Changes to established managerial and democratic systems	G	A ↔	Emergency decision making processes have been reviewed and are sound